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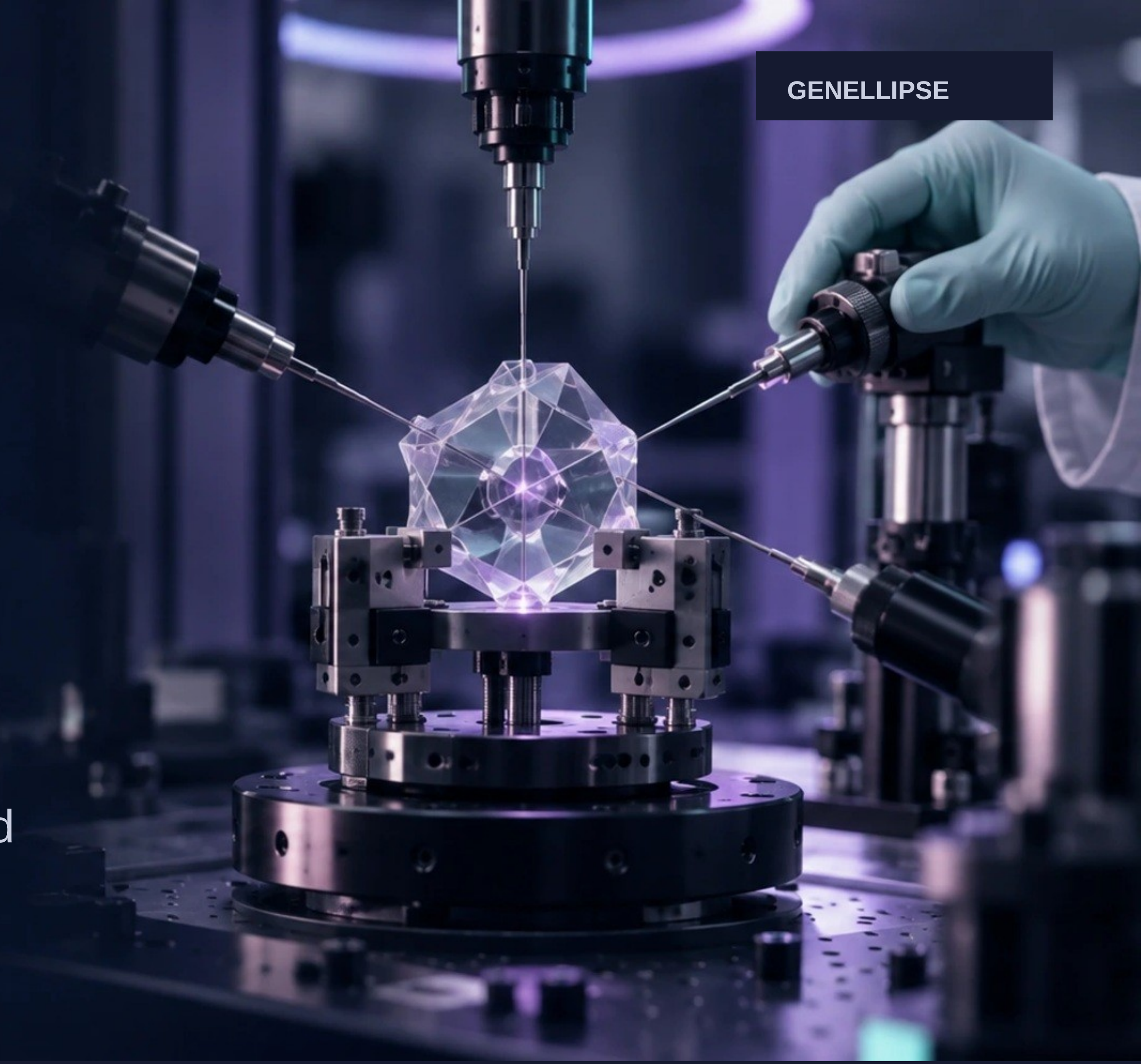
FINANCIAL & MODELS

Model Risk & AI Governance



Every model has a purpose, owner and review record

Core capabilities

The main activities and the information they connect.

Model inventory and tiering

Record purpose, materiality and lifecycle status.

Independent validation workflow

Track independent findings and revalidation dates.

Backtesting and performance

Compare outcomes with expectations over time.

Drift monitoring

Investigate changes in inputs and performance.

From daily work to oversight

Supporting functions keep decisions and evidence current.

Fairness evidence and gaps

Surface missing fairness and evaluation records.

Scoring transparency and metrics

Inspect feature weights and model metrics.

AI risk classification and oversight

Record classification and human oversight requirements.

Model concern and incident intake

Track reported concerns through case review.

How the work moves

A proposed operating workflow for your evaluation.

01

Register

Record intended use, materiality and ownership.

02

Validate

Challenge performance and document conditions.

03

Approve

The authorized owner decides on the permitted use.

04

Monitor

Review drift, findings and changes throughout use.

A model record connects use to evidence

The relationships that make the workflow understandable.

01

Purpose

The decision the model supports.

02

Validation

Tests, limitations and open conditions.

03

Approval

Who authorizes the use and under what limits.

04

Monitoring

Performance signals and revalidation triggers.

A stable model begins to drift

A model passes its last validation, but the current input distribution has changed.

Team response

Inspect affected populations and source quality. Review performance and open findings before deciding on remediation or revalidation.

Decision point

A drift alert prompts investigation. It does not by itself prove model failure.

What changes for your team

The practical value to evaluate against your current process.

A complete view of model obligations

The inventory shows which models need validation, monitoring or action on an open condition.

Clearer evidence for approval

Purpose, limitations and test results stay together when reviewers decide how a model may be used.

Where this module connects

Confirm the interfaces and available data for the intended deployment.

Sources	Model registers, validation reports and evaluation metrics.
Connected modules	Cortex, Financial Risk and Enterprise GRC.
Working outputs	Review conditions, monitoring evidence and model decisions.

What to validate in your evaluation

Use a representative case and agree the acceptance evidence before expansion.

Model owner and validator	Keep ownership and independent challenge distinct.
Evidence	Retain intended use, test data scope and validation findings.
Measure	Track overdue validations, open conditions and response to drift.

A focused walkthrough
Model Risk & AI Governance

Bring a representative workflow, its source records and the people who own the decision.

Starting point

A stable model begins to drift

Working session

Follow the case from input through review to retained evidence.

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